

**List of documents  
to be submitted to the National Gambling Office  
in order to obtain the right to organize and operate remote games of  
chance (online gambling) in Romania**

No.	Documents	No. of pages (from page to page)
1.	Application form	
2.	Ascertaining certificate issued by the Trade Registry / similar document issued by the authority *	
3.	Registration certificate from the Trade Register / similar document issued by the authority *	
4.	The act of incorporation of the company / firm *	
5.	Documents designating the authorized representative in Romania (contract and notary empowerment) *	
6.	police assessment for the authorized representative / associations / administrators	
7.	criminal record for the authorized representative / associations / administrators	
8.	fiscal record of the authorized representative / associations / administrators (for associates / administrators only if they are Romanian citizens)	
9.	affidavit of the authorized representative **	
10.	proof of registration as an operator of personal data	
11.	For betting activity - affidavit of the legal representative ***	
12.	certifications issued by independent entities holding ISO 17025 for gambling, on the software and hardware used for organizing gambling activities*	
13.	documentation for players identification ****	
14.	documents stating the location of gaming equipment and detailed description of the gambling system *	
15.	companies which operated remote gambling in Romania will submit an audit report for that activity, carried out by an independent auditor, and an affidavit that the audit is complete and in accordance with legal provisions, and the proof of payment of related taxes within the legal term *	
16.	declaration on the total amount of deposits of the players. Evidence of the total amount of funds that have been deposited into the account of players in Romania or a covering letter of guarantee.	
17.	Documentation attesting the applicant's last fiscal year turnover *	
18.	list of contracts for carrying remote gambling (software providers, affiliates, platform, auditors, certifiers, etc.) *	
19.	business plan for the remote gambling activity that will be held in Romania *	
20.	proof of ownership or use of intellectual property rights used by the remote gambling operator (software, brands etc.) *	
21.	other data and information requested by the National Gambling Office ONJN	

\* documents will be made available to ONJN, translated into Romanian and authenticated

\*\* affidavit of the authorized representative showing that:

(i) the economic operator has not been convicted by a final judgment of conviction that without rehabilitation;

(ii) are not in a state of incompatibility according to law;

(iii) the identity of the real beneficiaries, as defined in Law no. 656/2002 on preventing and sanctioning money laundering, and the establishment of measures to prevent and combat terrorism financing, republished, as amended, and that they have not been convicted by final judgment of conviction, without rehabilitation, for an offense under this emergency ordinance or for another offense committed with intent, for which he was imposed a minimum sentence of two years imprisonment, and is not in a state of incompatibility, as regulated by law;

(iv) against the entity or its representatives have not been taken administrative measures - like cancellation, revocation or suspension of license or authorization - in gambling, or are in the process of applying administrative measures, in accordance with this emergency ordinance, for a period of one year before the date of application for the license;

(v) the past 3 years were not shareholders and / or directors of companies that declared bankruptcy;

(vi) the authorized representative has experience in the organization and operation of gambling activities;

(vii) the authorized representative knows the specific legislation in force;

(viii) the authorized representative is not in a state of incompatibility, as it is governed by Romanian law;

\*\*\* Affidavit showing that he does not have, directly or through intermediaries, connection with the betting activities for which applied for a license for organizing and operating permit.

\*\*\*\*for point 13 the operator will submit:

1. A description that will present how will technically register and identify players according to the law

2. For the auditor, which must be registered in an EU Member State, will be submitted a declaration of incompatibility / independence

3. The auditor's ISO 27001 Certificate

4. The games rules and the terms and conditions of participation (for each game)

5. Description of procedures relating to the payments and collections methods used by the operator

6. Description of aspects related to the players protection (Responsible Gambling)

7. anti-fraud mechanisms and money laundering prevention used by the remote gambling operator

8. The procedure for identifying of minors and vulnerable persons

9. The way ONJN will have access to the operator's central server

*Regarding paragraphs 6, 7 and 8 the documents shall submit for the shareholders holding at least 5% of shares.*

Organiser's name and signature

Date: